

PATENT
UTSC:550SUBSTITUTE DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **INHIBITION OF BCL-2 PROTEIN EXPRESSION BY LIPOSOMAL ANTISENSE OLIGODEOXYNUCLEOTIDES**, the Specification of which:

- ☐ is attached hereto.
☒ was filed on September 22, 1999 as Application Serial No. 09/381,747.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent, United States provisional application(s), or inventor's certificate listed below and have also identified below any foreign application for patent, United States provisional application, or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIORITY APPLICATION(S)			Priority Claimed
(Number)	(Country)	(Date Filed)	Yes/No
(Number)	(Country)	(Date Filed)	Yes/No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56, which become available between the filing date of the prior application and the national or PCT international filing date of this application:

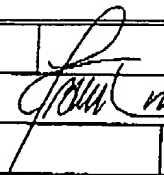
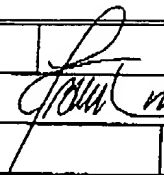
PCT/US97/18348	October 3, 1997	Pending
(Application Serial No.)	(Filing Date)	(Status)
08/726,211	October 4, 1996	Pending
(Application Serial No.)	(Filing Date)	(Status)

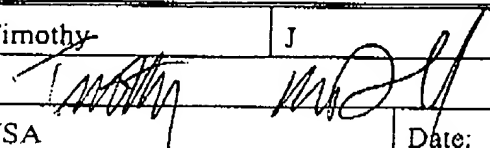
I hereby direct that all correspondence and telephone calls be addressed to Nicole W. Stafford, Fulbright & Jaworski L.L.P., 600 Congress Avenue, Suite 2400, Austin, Texas 78701, (512) 418-3000.

I HEREBY DECLARE THAT ALL STATEMENTS MADE OF MY OWN KNOWLEDGE ARE TRUE AND THAT ALL STATEMENTS MADE ON INFORMATION AND BELIEF ARE BELIEVED TO BE TRUE; AND FURTHER THAT THESE STATEMENTS WERE MADE WITH THE KNOWLEDGE THAT WILLFUL FALSE STATEMENTS AND THE LIKE SO MADE ARE PUNISHABLE BY FINE OR IMPRISONMENT, OR BOTH, UNDER SECTION 1001 OF TITLE 18 OF THE UNITED STATES CODE AND THAT SUCH WILLFUL FALSE STATEMENTS MAY JEOPARDIZE THE VALIDITY OF THE APPLICATION OR ANY PATENT ISSUED THEREON.

Inventor's Full Name:	Mar		Tormo
Inventor's Signature:			
Country of Citizenship:	Spain	Date:	
Residence Address: (street, number, city, state, and/or country)	Plaza Almansa #1 Valencia, Spain 46001		
Post Office Address: (if different from above)			

Inventor's Full Name:	Ana	M	Tari
Inventor's Signature:	<i>Ana Tari</i>		
Country of Citizenship:	Portugal	Date:	7/11/01
Residence Address: (street, number, city, state, and/or country)	2405 McClendon Street Houston, Texas 77030		
Post Office Address: (if different from above)			

Inventor's Full Name:	Gabriel		Lopez-Berestein
Inventor's Signature:			
Country of Citizenship:	USA	Date:	7/11/01
Residence Address: (street, number, city, state, and/or country)	122 Bellaire Court Bellaire, Texas 77603		
Post Office Address: (if different from above)			

Inventor's Full Name:	Timothy	J	McDonnell
Inventor's Signature:			
Country of Citizenship:	USA	Date:	
Residence Address: (street, number, city, state, and/or country)	14103 Manderly Houston, Texas 77077		
Post Office Address: (if different from above)			

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM (the "Assignee"), having a place of business at 201 West 7th Street, Austin, Texas 78701, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 09/381,747 which has been filed on September 22, 1999, and is entitled **"Inhibition of BCL-2 Protein Expression by Liposomal Antisense Oligodeoxynucleotides"**, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: _____

Date: _____

Name: Mar Tormo

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2001, personally appeared Mar Tormo, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary or Consular Officer

[SEAL]

Signature: _____

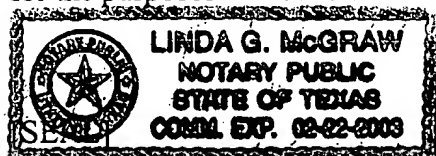
Name: Ana M. Tari

Date: _____

6/8/01

STATE OF TEXAS)
) ss.
COUNTY OF HARRIS)

BEFORE ME, the undersigned authority, on this 8th day of JUNE, 2001, personally appeared Ana M. Tari, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that she executed the same of her own free will for the purposes and consideration therein expressed.



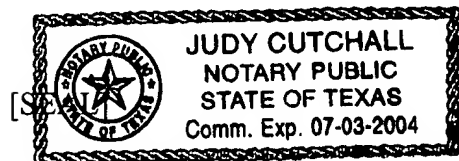
Linda G. McGraw
Notary or Consular Officer

Signature: [Signature]
Name: Gabriel Lopez-Berestein

Date: 6-15-01

STATE OF Texas)
COUNTY OF Harris) ss.

BEFORE ME, the undersigned authority, on this 15th day of June, 2001, personally appeared Gabriel Lopez-Berestein, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



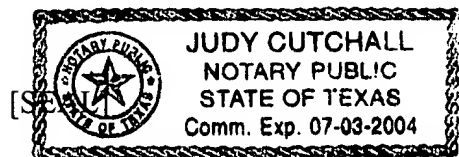
Judy Cutchall
Notary or Consular Officer

Signature: [Signature]
Name: Timothy J. McDonnell

Date: 6/15/01

STATE OF Texas)
COUNTY OF Harris) ss.

BEFORE ME, the undersigned authority, on this 15th day of June, 2001, personally appeared Timothy J. McDonnell, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



Judy Cutchall
Notary or Consular Officer

FULBRIGHT & JAWORSKI, L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701